Establishing Priorities in the Development of HLT Resources: The

Dutch-Flemish Experience

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Abstract

In this paper, we describe a survey of Dutch language resources that has been carried out within the framework of a project launched by the Dutch Language Union (Nederlandse Taalunie) with the aim of strengthening the position of Dutch in Human Language Technologies (HLT). In this paper, we present a so-called BLARK (Basic LAnguage Resources Kit). Based on the information collected in the survey, a priority list has been drawn up for materials that need to be developed to complete the BLARK specifically for Dutch. The method employed and reported in this paper is not specific for Dutch and can be adopted for other languages. We report on our experience with the approach and on developments after the initial survey.

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1. Introduction

With information and communication technology (ICT) becoming increasingly important, the need for language (or text) technology and speech technology, often referred to as Human Language Technologies (HLT), also increases. HLT enable people to use natural language in their communication with computers, and for many reasons it is desirable that this natural language be the user's mother tongue. In order for people to use their native language in these applications, a set of basic provisions (such as tools, corpora, and lexicons) is required. However, since the costs of developing HLT resources are high, it is important that all parties involved, in both industry and academia, co-operate to maximise the outcome of efforts in the field of HLT. This particularly applies to languages that are commercially less interesting than English, such as Dutch is.

For this reason, the Dutch Language Union (Nederlandse Taalunie – abbreviated NTU), which is a Dutch/Flemish intergovernmental organisation responsible for strengthening the position of the Dutch language (for further details on the NTU, the reader is referred to Beeken et al (2000)), launched an initiative, the Dutch HLT Platform. This platform aimed at stimulating co-operation between industry and scientific institutes and at providing an infrastructure that will make it possible to develop, maintain and distribute HLT resources for Dutch.

¹ This paper is an extended version of a paper by the same authors published as "A Field Survey for Establishing Priorities in the Development of HLT Resources for Dutch." Proceedings of LREC-2002, the third International Language Resources and Evaluation Conference, Las Palmas, Spain, pages 1862-1866, 2002.

The work to be done for the platform was divided into four action lines, which are described in more detail in Cucchiarini & D'Halleweyn (2002). Briefly, action line A concerned the creation of a 'market place' to encourage cooperation between academia, industry, and policy makers, and to stimulate awareness of and publicity for results of research. The last action line, action line D, concerned the development of a blueprint for the efficient organization of the management, distribution, and maintenance of language resources developed with public funding. In the present paper, results achieved in the remaining action lines B and C are further outlined. The aims of action line B were to define a set of basic HLT resources for Dutch that should be available for both academia and industry, the so-called BLARK (Basic LAnguage Resources Kit)², and to carry out a survey to determine what is needed to complete this BLARK and what costs are associated with the development of the materials needed. These efforts had to result in a priority list with cost estimates, which could then serve as a policy guideline. Action line C was aimed at drawing up a set of standards and criteria for the evaluation of the basic materials contained in the BLARK and for the assessment of project results. Obviously, the work done in action lines B and C was closely related, for determining whether materials are available cannot be done without a quality evaluation. For that reason, action lines B and C have been carried out in an integrated way.

The project was co-ordinated by a steering committee consisting of ten people with expertise in different aspects of the HLT field. The steering committee appointed four field researchers to carry out the survey.

The present paper describes the methods and tools used for conducting the survey. A detailed description is given of the three stages in which the survey was carried out. The components that constitute the BLARK are presented together with the priority list and a number of recommendations that resulted from this survey. We finish the paper with a description of what has been achieved since the study was completed and the plans for the future.

2. Survey

The field survey can be best described according to the three stages that were passed through. In the first stage, the BLARK for Dutch was defined. Then, in the second stage, an inventory was made of HLT resources that are already available. Finally, in the third stage the priority list was drawn up based on the BLARK and the inventory. In the next sections, the three stages will be described in more detail.

2.1. Defining the BLARK

The first step towards defining the BLARK was to reach consensus on the components and the instruments to be distinguished in the survey. A distinction was made between applications, modules, and data:

Applications: refers to classes of applications that make use of HLT. The following classes were defined: CALL (Computer Assisted Language Learning), access control, speech input, speech output, dialogue systems, document production, information access, and multi-lingual applications or translation applications.

Modules: refers to the basic software components that are essential for developing HLT applications (e.g. grapheme-phoneme conversion, part of speech tagging, automatic speech recognition, speaker verification, text-to-speech, etc.).

Data: refers to data sets and electronic descriptions that are used to build, improve, or evaluate modules. The following data sets are important for HLT: monolingual lexicons, multi-lingual lexicons, thesauri, corpora enriched with several annotations, corpora without annotations, speech corpora with at least an orthographic transcription, multi-lingual corpora, multi-modal corpora, multi-media corpora, and test suites.

In order to guarantee as much as possible that the survey was complete, unbiased and uniform, a matrix was drawn up by the steering committee describing (1) which modules are required for which applications, (2) which data are required for which modules, and (3) what the relative importance is of the modules and data. The matrix (subdivided in language and speech technology) is depicted in Table 1, where "+" means important and "++" means very important.

This matrix serves as the basis for defining the BLARK. Table 1 shows for instance that monolingual lexicons and annotated corpora are required for the development of a wide range of modules; these should therefore be included in the BLARK. Furthermore, semantic analysis, syntactic analysis, and text pre-processing (for language technology) and speech recognition, speech synthesis, and prosody prediction (for speech technology) serve a large number of applications and should therefore be part of the BLARK, as well.

Based on the data in the matrix and the additional prerequisite that the technology with which to construct the modules is available, a BLARK is proposed consisting of the following components:

For language technology:

Modules:

- Robust modular text pre-processing (including tokenisation, sentence splitting, and named entity recognition)
- Morphological analysis and morpho-syntactic disambiguation
- Syntactic analysis
- Semantic analysis

Data:

Monolingual lexicon

- Annotated corpus of text (a treebank with syntactic, morphological, and semantic structures)
- Benchmarks for evaluation

² The concept of a BLARK and the importance of having it for HLT has been promoted earlier by Steven Krauwer. See e.g. Krauwer (1998).

	Data									Applications							
Modules	monoling lex	multilin lex	thesauri	anno corp	unanno corp	speech	multi ling corp	multi mod corp	multi media cor	CALL	access	speech input	speech output	dialog systems	doc prod	info	transla- tion
Language Technology																	
Grapheme-phoneme	++			++						+			++	++	+	+	
conv.																	
Token detection	++			+	++					+		+		+	+	+	+
Sent boundary detection	+			++	++					+		++	++	+	++	++	++
Name recognition	+	+	+	++	++	++				+		++	++	+	++	++	++
Spelling correction Lemmatising										+						— .	
	++			++	+					+		+	+	+	+	+	+
Morphological analysis	++			++	+					+		+	++	+	++	++	++
Morphological synthesis Word form disambig.	++			++	+					+			++	+	++	 	++
Parsers and grammars	++			++	+					+		++	++	++	++	++	++
Shallow parsing				++						+ +		++		++		++	++
Constituent recognition	++			++	++					+		++	++	++	++	++	++
Semantic analysis	++			++	+							++	++		++		++
Referent resolution	++		++	++	+			++	++	+ +		++	++	++		++	++
Word meaning disambig.	+		++	++	+					+		++		++	++	++	++
Pragmatic analysis	+		+	++	+			++	++	+		++	++	++	+	++	++
Text generation	++		++	++				++		+		TT	++	++			_
Lang. dep. translation	TT	++	++	++			++	77	++	+			TT	TT	++	++	++
Speech Technology										ı							
Complete speech recog.	++	+		++	+	++	+	++	++	++	++	++		++	++	++	++
Acoustic models	++	+		++	+	++	+	+	+	++	+	++		++	+	+	+
Language models	+			++	+	+	+	+	+	++	+	++		++	++	++	++
Pronunciation lexicon	++	+		+		++	+	+	+	++	+	++	+	++	+	++	++
Robust speech recognition	+			+	+	+	+	+	++	+	+	++		++	+	+	+
Non-native speech recog.	+	++		+		++	++	+	+	++	+	+		+		+	+
Speaker adaptation	+			+	+	++	+	+	++	+	+	++		+	+	++	+
Lexicon adaptation	++	+		+		++	+	+	+	++	+	++	+	++	+	++	++
Prosody recognition	+	+		++	+	++	+	+	+	++	+	++		++	++	++	++
Complete speech synth.	++	+		+		+		+		+			++	++	+	+	++
Allophone synthesis	+	+		+		+		+		+			+		+	+	+
Diphone synthesis	++	+		+		+		+		+			++	++	+	+	+
Unit selection	++	+		+		+		+		+			++	++	+	+	+
Prosody prediction for Text-to-Speech	++	+		+		+		+	+	++			++	++		+	++
Aut. phon. transcription	++	++		+	+	++	+	+	+	++	+	+	+	+	+	+	+
Aut. phon. segmentation	++	++		+	+	++	+	+	+	++	+	+	+	+	+	+	+
Phoneme alignment	+	+		+	'	++	+	+	+	++	+	+	'	+	- '	- '-	+
Distance calc. phonemes	+	+		+		++	+	+	+	++	+	+		+			+
Speaker identification	+			++	++	++	+	++	+	+	++	+		+		+	+
	+			++	++	++	+	++	-	+	++	+		+		+	+
Speaker verification				<u>'''</u>			-	- ' '	++	+	++	+		+	1		+
Speaker verification Speaker tracking				++		++									-		
Speaker tracking	+	++		++	+	++	++	+							+	+	
Speaker tracking Language identification	+	++		+	+	++	++	+ +	+	+	+	+		+	+	+	+
Speaker tracking	+	++			+ + + +		++ ++ ++	+ + +							+		

 $Table\ 1\ Overview\ of\ the\ importance\ of\ data\ for\ modules\ and\ the\ importance\ of\ modules\ for\ applications.$

For speech technology:

Modules:

- Automatic speech recognition (including tools for robust speech recognition, recognition of non-natives, adaptation, and prosody recognition)
- Speech synthesis (including tools for unit selection)
- Tools for calculating confidence measures
- Tools for identification (speaker identification as well as language and dialect identification)
- Tools for (semi-) automatic annotation of speech corpora

Data:

- Speech corpora for specific applications, such as CALL, directory assistance, etc.
- Multi-modal speech corpora
- Multi-media speech corpora
- Multi-lingual speech corpora
- Benchmarks for evaluation

2.2. Inventory and evaluation

In the second stage, an inventory was made to establish which of the components - modules and data - that make up the BLARK were already available; i.e. which modules and data can be bought or are freely obtainable for example by open source. Besides being available, the components should also be (re-)usable. Note that only language specific modules and data were considered in this survey; language-independent tools and modules were not included in the survey.

Obviously, components can only be considered usable if they are of sufficient quality; therefore, a formal evaluation of the quality of all modules and data is indispensable. Evaluation of the components can be carried out on two levels: a descriptive level and a content level. Evaluation on a content level would comprise validation of data and performance validation of modules whereas evaluation on a descriptive level would mean checking the modules and data against a list of evaluation criteria. Since there was only a limited amount of time, it was decided that only the checklist approach would be feasible. A checklist was drawn up consisting of the following items:

- Availability:
 - public domain, freeware, shareware, etc.
 - legal aspects, IPR
- Programming code:
 - language: Fortran, Pascal, C, C++, etc.
 - makefile
 - stand-alone or part of a larger module?
- Platform: Unix, Linux, Windows 95/98/NT, etc.
- Documentation
- Compatibility with standards: (S)API, SABLE
- Compatibility with standard packages: Waves, MATLAB, Praat, GIPOS, etc.
- Reusability / adaptability / extendibility:
 - to other tasks and applications
 - to other platforms
 - of modules
 - part of larger module?
- Documentation
- Standards

As a first step in the inventory, the experts in the steering committee made an overview of the availability of components. The field researchers then extended and completed this overview based on information found on the Internet and in the literature and by personal communication with actors in the field. Subsequently, the information on availability and the matrix in Table 1 together with a preliminary version of the inventory were submitted to a group of HLT experts from both industry and academia, ensuring that a balanced picture could be obtained.

Based on the reactions of the experts and the earlier collected information a second matrix was filled in which describes the availability of the components in the BLARK (cf. Table 2). Availability in this matrix is expressed in numbers from 1 ('module or data set is unavailable') to 10 ('module or data set is easily obtainable').

At the end of the second stage, all information gathered was incorporated in a report containing the BLARK, the availability figures together with a detailed inventory of available HLT resources for Dutch, a priority list of components that need to be developed, and a number of recommendations. This report was given a provisional status, as feedback on this version from many actors in the field was considered desirable.

Modules	Availability
Grapheme-phoneme conversion	8
Token detection	9
Sentence boundary detection	3
Name recognition	4
Spelling correction	3
Lemmatising	9
Morphological analysis	7
Morphological synthesis	9
Word form disambiguation	7
Parsers and grammars	3
Shallow parsing	2
Constituent recognition	5
Semantic analysis	3
Referent resolution	2
Word meaning disambiguation	2
Pragmatic analysis	1
Text generation Language dependent translation	3
	<u> </u>
Complete speech recognition	4
Acoustic models	8
Language models	3
Pronunciation lexicon	5
Robust speech recognition	2
Non-native speech recognition	2
Speaker adaptation	2
Lexicon adaptation	2
Prosody recognition	2
Complete speech synthesis	6
Allophone synthesis	7
Di-phone synthesis	6
Unit selection	1
Prosody prediction for Text-to-Speech	3
Autom. phonetic transcription	3
Autom. phonetic segmentation	5
Phoneme alignment	8
Distance calculation of phonemes	8
Speaker identification	2
Speaker verification	2
Speaker tracking	2
Language identification	2
Dialect identification	2
Confidence measures	2
Utterance verification	2
Data	
Unannotated corpora	9
Annotated corpora	5
Speech corpora	4
Multi-lingual corpora	3
Multi-migual corpora	1
Multi-media corpora	1
Test corpora	1
Monolingual lexicons	8
Multi-lingual lexicons	
	6
Thesaurus	4

Table 2 Availability of modules and data

2.3. Feedback

Reaching consensus on the analysis and recommendations for the Dutch and Flemish HLT field was one of the main objectives of the survey. Therefore, in the third stage, the whole HLT field was consulted. Using the address list that had been compiled in Action Line A of the Platform, we sent the priority list, the recommendations, and a link to a pre-final version of the inventory to all known actors in the HLT field: a total of about 2000 researchers, commercial developers and users of commercial systems. All actors were asked to comment on the report, the priority list, and the recommendations by email to one of the field researchers. Relevant comments were incorporated in the report.

Simultaneously the same group of people were invited to a workshop that was organised to discuss the BLARK, the priority list and the recommendations. Some of the actors that had sent their comments were asked to give a presentation to make their ideas publicly known. The presentations served as an onset for a concluding discussion between the audience and a panel consisting of five experts.

From the workshop, we got useful advice and many additions to the matrices; these were incorporated in the final version of the report. A number of conclusions that could be drawn from the workshop include:

- Cooperation between universities, research institutes and companies should be stimulated.
- It should be clear for all components in the BLARK how they can be integrated with off-the-shelf software packages. Furthermore, documentation and information about performance should be readily available.
- Control and maintenance of all modules and data sets in the BLARK should be guaranteed.
- Feedback of users on the components (regarding quality and usefulness of the components) should be processed in a structured way.
- The question as to what is the effect of the open source policy on companies and their contribution to the BLARK needed some further discussion.

3. Results: inventory, priority list, and recommendations

The survey of Dutch and Flemish HLT resources resulted in an extensive overview of the present state of HLT for the Dutch language. The overview gives a clear picture of the available modules, data, and applications for the Dutch language and where they can be found.

By combining the BLARK with the inventory of components that are available and of sufficient quality, a priority list could be drawn up for the components that need to be developed to complete the BLARK. The prioritisation proposed is based on the following requirements:

- the components should be relevant (either directly or indirectly) for a large number of applications,
- the components should currently be either unavailable, inaccessible, or be of insufficient quality, and
- developing the components should be feasible in the short term.

The following priority lists were drawn up (one for language technology and one for speech technology):

Language technology:

- 1. Annotated corpus of written Dutch: a treebank with syntactic and morphological structures
- 2. Syntactic analysis: robust recognition of sentence structure in texts
- 3. Robust text pre-processing: tokenisation, sentence splitting, and named entity recognition
- 4. Semantic annotations for the treebank mentioned above
- 5. Translation equivalents
- 6. Benchmarks for evaluation

Speech technology:

- 1. Automatic speech recognition (including modules for non-native speech recognition, robust speech recognition, adaptation, and prosody recognition)
- 2. Speech corpora for specific applications (e.g. directory assistance, CALL)
- 3. Multi-media speech corpora (speech corpora that also contain information from other media such as newspapers, WWW, etc.).
- 4. Tools for (semi-) automatic transcription of speech data
- 5. Speech synthesis (including tools for unit selection)
- 6. Benchmarks for evaluation

From the inventory and the reactions from the field, it could be concluded that the current HLT infrastructure is scattered, incomplete, and not sufficiently accessible. Often the available modules and applications are poorly documented. Moreover, there is a great need for objective and methodologically sound comparison and benchmarking of the materials. The components that constitute the BLARK should be available at low cost or for free.

To overcome the problems in the development of HLT resources for Dutch the following recommendations were made:

- existing parts of the BLARK should be collected, documented and maintained by some sort of HLT agency,
- the BLARK should be completed by encouraging funding bodies to finance the development of the prioritised resources.
- the BLARK should be available to academia and the HLT industry under the conditions of open source development,

- benchmarks, test corpora, and a methodology for objective comparison, evaluation, and validation of parts of the BLARK should be developed.

Furthermore, it could be concluded that there is a need for well-trained HLT researchers, as this was one of the issues discussed at the workshop. Finally, enough funding should be assigned to fundamental research.

4. Dissemination and Follow-up

results of the survey were disseminated to the field through The results of the survey were disseminated to the field unlough a web page, http://taalunieversum.org/taal/technologie/. The priority list and the recommendations were made available to funding bodies and policy institutions by the NTU. A summary of the report, containing the priority list, the recommendations, and the BLARK was translated into English to reach a broader public (Cucchiarini and D'Halleweyn, 2002b). In 2004, a new Dutch-Flemish HLT programme is being launched (Cucchiarini and D'Halleweyn, 2004) part of which will be devoted to the development of HLT resources. A direct successor to the HLT Platform described in this paper, this initiative will also be under the auspices of the Dutch Language Union to guarantee its Dutch-Flemish character, with funding from ministries and agencies of both countries. As a direct follow-up to action line D, the management, distribution, and maintenance of existing language resources developed with public funding will be managed by a new HLT agency, funded by the Dutch Language Union and carried out by the Institute of Dutch Lexicology. In the same vein, activities in action line A will be continued. As far as the focus of this paper is concerned, the BLARK and priorities for the development of resources, the survey described here was complemented by a study ordered by the Dutch Ministry of Economic Affairs aimed at determining the economic potential of the HLT sector and the optimal form of government intervention to stimulate this sector. The final recommendation, shared by all financing agencies, was to adopt the priorities described in this paper, and, in addition, to start up initiatives to stimulate academic HLT research and to stimulate the demand of HLT products. A large-scale programme is being set up for working out a five-year plan, and the first projects are expected to start at the beginning of 2005.

5. Conclusion

This paper described the methodology employed to arrive at priorities for the development of resources for Dutch HLT. First, a BLARK, which is more or less language universal, was defined. Subsequently, an inventory was made of available Dutch HLT resources. Finally, feedback from experts in the field was gathered to complete the overview. Following this method, a report was drawn up with an up-to-date inventory of Dutch HLT, a priority list to complete the BLARK for Dutch and some recommendations. Collecting information to complete the overview of existing Dutch HLT resources was rather time consuming although essential for finally defining a priority list for Dutch HLT. It should be emphasized that the definition of the BLARK and the resulting priorities are only a static snapshot of a reality that is continuously changing, and that they should therefore be regularly updated. The authors are pleased to report that the governments of The Netherlands and Flanders have taken to heart the recommendations, and that a substantial programme realizing part of the priorities is about to take off at the time of writing. Our experiences with the approach taken may be useful for other countries starting similar initiatives. The process shows that co-operation between language and speech technology, and between academia and industry can be successful, even in a transnational context, and leads to progress in HLT.

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